

1645
PTO/SB/21 (08-03), *JW*

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/077,624
		Filing Date	February 14, 2002
		First Named Inventor	Wenyuan SHI
		Art Unit	1645
		Examiner Name	Zerman, Robert A.
Total Number of Pages in This Submission		Attorney Docket Number	061818-5512 US (Formerly 2101363-991201)

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request (See Remarks) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Change of Address <input checked="" type="checkbox"/> Revocation and Power of Attorney (with Schedule A) <input checked="" type="checkbox"/> Statement Under 37 CFR § 3.73(b) <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): • Return postcard
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 50-0310.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Morgan, Lewis & Bockius LLP Nan Wu Reg. No. 43,360
Signature	<i>[Signature]</i>
Date	July 24, 2005

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Judi Stillwell		
Signature	<i>[Signature]</i>	Date	July 25, 2005



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: See Attached Schedule A
Serial No.: See Attached Schedule A
Filed: See Attached Schedule A
For: See Attached Schedule A Attorney Docket No: See Attached Schedule A


REVOCATION AND POWER OF ATTORNEY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R:

The Regents of the University of California, owner of the entire right, title and interest in, to and under the inventions listed in the attached Schedule hereby revokes all previous powers of attorney and appoints Morgan, Lewis & Bockius LLP, Customer No. 43850, and each of them, its attorneys, to prosecute these matters, and to transact all business in the Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. 3.71, provided that, if any one of these attorneys ceases being affiliated with the law firm of Morgan, Lewis & Bockius LLP as partner, counsel, or employee, then the appointment of that attorney and all powers derived therefrom shall terminate on the date such attorney ceases being so affiliated.

Please direct all future correspondence to the address associated with Customer No. 43850, Morgan, Lewis & Bockius LLP and direct all telephone calls to Morgan, Lewis & Bockius LLP at (650) 843-4000.

Date:	<u>4/13/05</u>	Assignee:	The Regents of the University of California
		Signature:	
		Typed Name:	Lorelei de Larena
		Position/Title:	Intellectual Property Manager
		Address:	10920 Wilshire Blvd. Suite 1200 Los Angeles, CA 90024-1406

Schedule A- UCLA

MLB File No. UC R # in brackets	App. No. / Patent No.	Inventor(s)	Title	Date Filed Date Issued
061818-5510 US (1999-543-1)	09/378,577	Shi and Anderson	Method for the Treatment and Prevention of Dental Caries	08/20/99
061818-5511 US (1999-543-2)	09/881,823	Shi, Anderson, et al.	DNA Molecules and Recombinant DNA Molecules for Producing Humanized Monoclonal Antibodies to <i>S. Mutans</i>	06/15/01
061818-5512 US (1999-543-3)	09/910,358	Shi, Anderson, et al.	Fusion Proteins for Targeted Delivery of Antimicrobial Peptides	07/19/01
061818-5512 US01 (1999-543-4)	10/077,624	Shi, Anderson, et al.	Anti-Microbial Targeting Chimeric Pharmaceutical	02/14/02
061818-5512 US02 (2003-509-1 according to GC records) (1999-543-5 according to your records)	10/706,391	Randal Eckert, Qi, Shi and Anderson	Anti-Microbial Targeting Chimeric Pharmaceutical	11/12/03
061818-5509 US (2002-409-1)	10/156,378	Shi, Anderson, et al.	Herbs & Herbal Combinations Useful for the Treatment of Microbial Infections	05/28/02
061818-5515 US (2004-522-1)	10/795,554	Shi, Anderson, et al.	Compositions Useful for the Treatment of Microbial Infection	03/04/04
061818-5516 US (2002-483-1)	10/164,446	Justin Merritt, Shi, Anderson, et al.	Quorum Sensing and Biofilm Formation	06/06/02



re Application of:
Wenyuan SHI, et al.

Application Serial No.: 10/077,624

Examiner: Zerman, Robert A.

Art Unit: 1645

Filing Date: February 14, 2002

Confirmation No. 2797

For: ANTI-MICROBIAL TARGETING CHIMERIC
PHARMACEUTICAL

Attorney Docket No.:

061818-5512 US

(Formerly 2101363-991201)

COMMUNICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants enclose herewith a Revocation and Power of Attorney by Assignee executed on April 13, 2005 by Lorelei de Larena on behalf of The Regents of The University of California and a Statement under 37 C.F.R. 3.73(b) executed by Nan Wu (Reg. No. 43,360) on behalf of the assignee, as appointed representative under the Power of Attorney.

Applicants believe that no fee is required with the submission of the enclosed document. However, if it is found that a fee is necessary, please charge the required fee to Morgan, Lewis & Bockius LLP Deposit Account No. 50-0310. A copy of this sheet is enclosed for accounting purposes.

Date: July 28, 2005

Respectfully submitted,

Nan Wu

43,360

(Reg. No.)

MORGAN LEWIS & BOCKIUS LLP
2 Palo Alto Square
3000 El Camino Real
Suite 700
Palo Alto, CA 94306-2212
(415) 442-1340



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Wenyuan SHI, et al.

Application Serial No.: 10/077,624

Examiner: Zerman, Robert A.

Art Unit: 1645

Filing Date: February 14, 2002

Confirmation No. 2797

For: ANTI-MICROBIAL TARGETING CHIMERIC
PHARMACEUTICAL

Attorney Docket No.:

061818-5512 US

(Formerly 2101363-991201)

STATEMENT UNDER 37 C.F.R. § 3.73(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, a corporation (Type of Assignee, e.g.,
corporation, partnership, university government agency), states that it is:

- ☒ the assignee of the entire right, title, and interest; or
☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is %

in the patent application/patent identified above by virtue of an assignment from the inventor(s) of
the patent application/patent identified above.

- ☒ The assignment was recorded in the United States Patent and Trademark Office on
November 6, 2002 at Reel 013462, Frame 0679, or for which a copy thereof is attached.

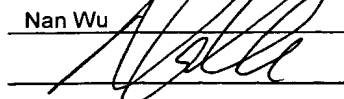
OR

- ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to
the current assignee as shown below:

1. From: To:
The document was recorded in the United States Patent and Trademark Office on
at Reel , Frame , or for which a copy thereof is attached.

2. From: To:
The document was recorded in the United States Patent and Trademark Office on
at Reel , Frame , or for which a copy thereof is attached.

The undersigned whose signature and title is supplied below is authorized to act on behalf of the assignee.

Name	Nan Wu		
Signature		Date	July 28 2005
Title	Attorney- Reg. No. 43,360	Telephone	(415) 442-1340